

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

David R. FERGUSON et al.

Application No.: 08/947,432

Filed: October 8, 1997

For: COMPUTER-BASED DOCUMENT
MANAGEMENT SYSTEM



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) Group Art Unit: 2771

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) Examiner: E. Colbert
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INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the
above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$240.00 as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☒ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), a petition requesting consideration of the
information disclosure statement, and the petition fee of \$130.00 as set forth in 37
C.F.R. § 1.17(i) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$_____ is enclosed for the fee due.

Information Disclosure Statement Transmittal Letter

Application No. 08/947,435

Attorney's Docket No. 004968-005

Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:


Mark R. Kresloff
Registration No. 42,766

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Alexandria, Virginia 22313-1404
(703) 836-6620

Date: April 14, 1999

K. Ward
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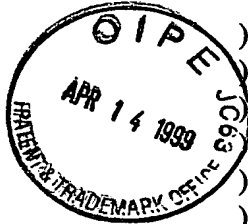
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56,
Applicants hereby submit the following information in conformance with 37 C.F.R.
§§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is
enclosed.

Rose, D.E., "Content Awareness in a File System Interface: Implementing
the 'Pile' Metaphor for Organizing Information." PROCEEDINGS OF THE
ANNUAL INTERNATIONAL ACM SIGIR CONFERENCE ON RESEARCH
AND DEVELOPMENT IN INFORMATION RETRIEVAL. Pittsburgh, June
1993, pp. 260-269.

Gottesman, B. et al., "Ending the Paper Chase." PG MAGAZINE, 24
October 1995, pp. 129, 131, 134, 135, 140, 142, 144, 151, 152, 154-156, 160-
162.

International Search Report issued on February 15, 1999, in connection with
Counterpart PCT Application No. PCT/US98/20483.

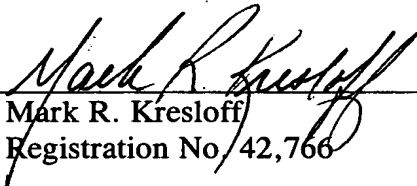
I, the undersigned, hereby certify that each item of information contained in this
information disclosure statement was cited in a communication from a foreign patent office

in a counterpart foreign application not more than three months prior to the filing of this statement.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialled copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 
Mark R. Kresloff
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